ITW mage

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Kanou TAKEUCHI et al.

Appln. No.: 10/539,138

Filing Date: December 15, 2003

371(c) Date: June 16, 2005

For: METHOD FOR KEEPING THE

LEVEL OF MOISTURE IN COMPOSITIONS AND USES

THEREOF

Atty. Docket: TAKEUCHI=10

Conf. No.: 2221

Art Unit: Not Yet Known

Examiner: Not Yet Known

Washington D.C.

February 10, 2006

INFORMATION DISCLOSURE STATEMENT [IDS]

Honorable Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window
Randolph Building, Mail Stop Amendment
401 Dulany Street
Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted in accordance with 37 CFR §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- [X] 1. This IDS should be considered, in accordance with 37 CFR §1.97, as it is filed:
- [] A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.

- [X] B. before the mailing date of a first office action on the merits or before the mailing of a first Office action after the filing of a Request for Continued Examination under 37 CFR §1.114; or
- [] C. after (A) and (B) above, but before final rejection or allowance, and Applicant has made the necessary certification (box "i" below) or paid the necessary fee (box "i" below):
 - [] i. Counsel certifies that, upon information and belief, each item of information listed herein either was
 - [] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
 - [] (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, not known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this IDS.
 - [] ii. Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in 37 CFR §1.17(p), presently believed to be \$180. If the enclosed payment is incorrect, please charge any additional fees or credit any overpayment to Deposit Account No. 02-4035 of the undersigned.
- [] D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant states as follows under 37 CFR §1.97(e) for consideration of this IDS, that, upon information and belief, each item of information listed herein either was

- [] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- [] (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, not known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this IDS.

Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in 37 CFR §1.17(p), presently believed to be \$180. If the enclosed payment is incorrect, please charge any additional fees or credit any overpayment to Deposit Account No. 02-4035 of the undersigned.

- [X] 2. In accordance with 37 CFR §1.98, this IDS includes a list (e.g., form BN/SB/08A/B) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. Other than U.S. patent(s) and/or published U.S. application(s), which 37 CFR §1.98(a)(2)(ii) does not require to be filed unless specifically required by the Office, a copy of each document listed is attached, except as explained below:
- [] A. Document(s) ______ is/are deemed substantially cumulative to document(s) ______, and, in accordance with 37 CFR §1.98(c), a copy of each of the former document(s) is not enclosed.
- [] B. Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. 120:

(insert serial numbers and filing dates of prior applications)
Applicant identifies these documents by attaching hereto copies of the forms PTO-892, PTO-1449, PTO/SB/08a and/or PTO/SB/08b (or their BN form equivalents) from the files of the prior

application(s) or a fresh BN/SB/08A and/or BN/SB/08B listing these documents, and request that they be considered and made of record in accordance with 37 CFR §1.98(d). Per 37 CFR §1.98(d), copies of these documents need not be filed in this application.

- [X] 3. Documents <u>AB</u>, <u>AD</u>, <u>AF</u>, <u>AH</u>, <u>AJ</u>, <u>AL</u>, <u>AN</u>, <u>AP</u>, <u>AR</u>, <u>AZ</u>, <u>BA</u>, <u>BB</u>, <u>BC</u> are not in the English language. In accordance with 37 CFR §1.98(a)(3), Applicant states:
 - [X] An English translation of each document AB, AD,
 AF, AH, AJ, AL, AN, AP, AR, AZ, BA, BB, BC (or of
 the pertinent portions thereof), or a copy of an
 English-language abstract (or claim) is enclosed.
 - [X] For documents AB, AD, AF, AH, AJ, AL, AN, AP, AR, a corresponding English-language patent or published application is included on the accompanying Form BN/SB/08A, with a line drawn in the margin connecting the non-English-language document with its corresponding English-language document.

-	J	A concise explanation	of the relevance of
		document(s)	is found in the attached
			search report (see reply to
		Comment 68 in the prea	mble to the final rules;
		1135 OG 13 at 20).	
-]	A concise explanation	of the relevance of
		document(s)	is set forth as follows:
-]	A concise explanation	of the relevance of
		document(s)	can be found on page(s)
		of the specif	ication.
]	A concise explanation	of the relevance of
		document(s)	can be found on the
		attached sheet	

In re Appln. No. 10/539,138

- [X] 4. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 and 68 in the preamble to the final rules; 1135 OG 13 at 20).
- [X] 5. Other information being provided for the examiner's consideration follows:

International Search Report mailed Feb. 10, 2004
Supplementary European Search Report mailed Dec. 19, 2005

6. In accordance with 37 CFR §§1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

BROWDY AND NEIMARK

Attorneys for Applicant(s)

By:

Sheridan Neimark

Registration No. 20,520

SN:ma

624 Ninth Street, N.W., Suite 300

Washington, D.C. 20001-5303

Telephone: (202)628-5197 Facsimile: (202)737-3528

G:\BN\S\SUMA\TAKEUCHI10\Pto\IDS 10Feb06.doc

x



Substitute for form 1449A/PTO

BA JP 7-14962

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Cor	mplete if Known	
Application Number	10/539,138	
Filing Date	June 16, 2005	
First Named Inventor	Kanou TAKEUCHI	
Group Art Unit	Not Yet Known	
Examiner Name	Not Yet Known	
Attomey Docket Number	TAKELICHI=10	

			U.S. 1	PAT	ENT DOCU	MENTS			
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (II} known)	Publication Da MM-DD-YYYY			of Patentee or of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-5,919,668	07-06-1999						
		US-							
		US-							
		US-							
		US-							
		US-							
		US-				*			
			FOREIG	N F	PATENT DO	CUMENTS			
		Foreign Patent N				Name of Patentee or Applicant		Pages, Columns, Lines,	
Examiner Initials*	Cite No.1	Country Code ³ Number ⁴ Kin known)	d Code ⁵ (if		blication Date M-DD-YYYY	of Cited Document		Where Relevant Passages or Relevant Figures Appear	T ⁶
	AB	WO 02/088246 A1		11	-07-2002	T. SUGIMOTOT et al.			×
	AC	EP 1 398 346 A1	-	03	-17-2004	T. SUGIMOTOT et al.			
	AD	JP 7-143876		06	-06-1995	M. KAZUHIKO et al.			X
	AE	EP 0 606 753 A2		12	2-21-1993	M. KAZUHIKO et al.			
	AF	JP 8-73504		03	3-19-1996	B. TAKAHIKO et al.			X
}—	AG	EP 0 691 407 A1		01	-10-1996	T. MANDAl et al.			
1	AH	JP 3182679		08	3-08-1991	N. TAKAO et al.			×
,	Al	EP 0 636 632 A2		02	2-01-1995	T. MANDAI et al.			
,	AJ	JP-2000-228980		08	3-22-2000	T. YAMAMOTO et a	l.		x
	AK	EP 0 990 704 A2		04	1-05-2000	T. YAMAMOTO et a	I.		
-	AL	JP 7-236478		12	2-09-1995	N. MASAYUKI et al.			×
	AM	EP 0 670 368 A2		09	9-06-1995	M. NAKANO et al.			
, 	AN	WO 02/24832 A1		03	3-28-2002	K. OKU et al.			x
1	AO	EP 1 338 638 A1		08	3-27-2003	K. OKU et al.			
-	AP	WO 02/010361		0:	2-07-2002	м. KUBOTA et al.			×
,	AQ	EP 1 229 112 A1		08	3-07-2002	м. KUBOTA et al.			
	AR	WO 02/072594 A1		0:	9-19-2002	H. AGA et al.			×
	AS	EP 1 380 595 A1		0	1-14-2004	H. AGA et al.			
	AT	EP 0 636 632 A2		0:	2-01-1995	T. MANDAI et al.			
	AU	EP 0 690 130 A1		0	1-03-1996	T. SHIBUYA et al.			
	AV	EP 0 690 131 A1		0	1 -03-1996	M. KUBOTA et al.			
	AW	EP 0 834 516 A1		0	4-08-1998	K. OKADA et al.			
	AX	EP 0 850 947 A1		0	7-01-1998	H. CHAEN et al.			
	AY	EP 0 834 516 A1		0	4-08-1998	K. OKADA et al.			
	AZ	JP 9-56342		0	4-03-1997	A. TOTSUKA et al.			x

Examiner	Date	
Signature	Considered	-

M. TOSHIO ET AL.

02-22-1995

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\)For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

Substitute f	or form 1449A/PTO		· · =	Complete if Known			
				Application Number	10/539,138		
INFO	RMATION D	ISC	CLOSURE	Filing Date	June 16, 2005		
STAT	EMENT BY	AP	PLICANT	First Named Inventor	Kanou TAKEUCHI		
OTATEMENT BY ALLEGAN				Group Art Unit	Not Yet Known		
	(use as many sheets	as n	ecessary)	Examiner Name	Not Yet Known		
Sheet	2	of	2	Attorney Docket Number	TAKEUCHI=10		

NON PATENT LITERATURE DOCUMENTS / OTHER INFORMATION							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²				
	ВВ	"Yogashi Seizo no Kiso to Jissai" (The Basis and Practice in Processing Western Confectionary), published by KORIN Publishing Co., Ltd., Tokyo, Japan, pp. 303-372, 1991	х				
	ВС	"Sokuhin to Garasuka Kesshoka Gijutsu" (Foods and vitrification/crystallization technology), published by Science orum Inc., Tokyo, Japan, pp. 3-60, 2000.	х				
		•					
Examiner Signature		Date Considered					

Signature

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.